

**IN THE UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF INDIANA  
HAMMOND DIVISION**

INDIANA STATE COUNCIL OF ROOFERS HEALTH )  
AND WELFARE FUNDS AND NATIONAL ROOFING )  
INDUSTRY PENSION FUND, ) No. 2:18-cv-29-  
Plaintiffs, )  
v. )  
S.T.A. ROOF TECHS, LLC, an Indiana Domestic Limited )  
Liability Company, )  
Defendant. )

**COMPLAINT**

Plaintiffs, by their attorneys, DONALD D. SCHWARTZ and ARNOLD AND KADJAN, LLP, complain against Defendant, **S.T.A. ROOF TECHS, LLC**, as follows:

**COUNT I**

1. (a) Jurisdiction of this cause is based on Section 301 of the National Labor Relations Act, 29 U.S.C. Section 185(a) as amended.  
(b) Jurisdiction of this cause is based upon Section 502 of the Employee Retirement Security Act of 1974, 29 U.S.C. Section 1132, 1145 ("ERISA"), as amended.
2. Venue is founded pursuant to 29 U.S.C. Section 1132(e)(2) in this District where the Funds, as described in Paragraph 3, are administered.
3. (a) The Plaintiffs in this count are INDIANA STATE COUNCIL OF ROOFERS HEALTH AND WELFARE FUNDS AND NATIONAL ROOFING INDUSTRY PENSION FUND, ("the Funds"), and have standing to sue pursuant to 29 U.S.C. Section 1132(d)(1).  
(b) The Funds have been established pursuant to collective bargaining

agreements previously entered into between the Cement Masons and Operative Plasterers and its affiliated locals (the "Union") and certain employer associations whose employees are covered by the collective bargaining agreement with the Union.

(c) The Funds are maintained and administered in accordance with and pursuant to the provisions of the National Labor Relations Act, as amended, and other applicable state and federal laws and also pursuant to the terms and provisions of the agreements and Declarations of Trust which establish the Funds.

4. (a) Defendant, **S.T.A. ROOF TECHS, LLC** ("S.T.A.") is an Indiana Domestic Limited Liability Company, and is doing business within this Court's jurisdiction.

(b) **S.T.A.** is an employer engaged in an industry affecting commerce.

5. Since **August 25, 2016**, **S.T.A.** has entered into successive collective bargaining agreements with the Union pursuant to which it is required to pay specified wages and to make periodic contributions to the Funds on behalf of certain of its employees by virtue of submitting signed fringe benefit report forms to the Funds. (Exhibit "A")

6. By virtue of certain provisions contained in the collective bargaining agreements, **S.T.A.** is bound by the Trust Agreement establishing the Funds.

7. Under the terms of the collective bargaining agreements and Trust Agreements to which it is bound, **S.T.A.** is required to make contributions to the Funds on behalf of their employees and, when given reasonable notice by Plaintiffs or their representatives, to submit all necessary books and records to Plaintiff's accountant for the purpose of determining whether or not it is in compliance with its obligation to contribute to the Funds.

8. Plaintiffs are advised and believe that from **August 25, 2016 through the present**, **S.T.A.** has failed to make some of the contributions from time to time required to be

paid by it to the Funds pursuant to the terms of the Trust Agreements by which it is bound, all in violation of its contractual obligations and its obligations under applicable state and federal statutes.

**WHEREFORE**, Plaintiffs pray for relief as follows:

- A. **S.T.A.** be ordered to submit to an audit for **August 25, 2016 through the present.**
- B. Judgment be entered on any amounts found to be due on the audit.
- C. Plaintiffs be awarded their costs herein, including reasonable attorneys' fees and costs incurred in the prosecution of this action, together with liquidated damages in the amount of 20%, all as provided in the applicable agreements and ERISA Section 502(g)(2).
- D. **S.T.A.** be enjoined from violating the terms of the collective bargaining agreements and Trust Agreements by failing to make timely payments to the Funds and be ordered to resume making those payments.
- E. This Court grant Plaintiffs such other and further relief as it may deem appropriate under the circumstances.

Respectfully submitted,

INDIANA STATE COUNCIL OF ROOFERS HEALTH  
AND WELFARE FUNDS AND NATIONAL ROOFING  
INDUSTRY PENSION FUND

By: s/ Donald D. Schwartz  
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